

For the year Jan. 1–Dec. 31, 2013, or other tax year beginning

, 2013, ending

, 20

See separate instructions.

Your first name and initial

Last name

Your social security number

Guy R

If a joint return, spouse's first name and initial

Last name

Spouse's social security number

Sherrie J

Home address (number and street). If you have a P.O. box, see instructions.

Apt. no.

▲ Make sure the SSN(s) above and on line 6c are correct.

City, town or post office, state, and ZIP code. If you have a foreign address, also complete spaces below (see instructions).

Presidential Election Campaign

Check here if you, or your spouse if filing jointly, want \$3 to go to this fund. Checking a box below will not change your tax or refund. ☐ You ☐ Spouse

Foreign country name

Foreign province/state/county

Foreign postal code

Filing Status

1 ☐ Single2 ☒ Married filing jointly (even if only one had income)3 ☐ Married filing separately. Enter spouse's SSN above and full name here. ▶4 ☐ Head of household (with qualifying person). (See instructions.) If the qualifying person is a child but not your dependent, enter this child's name here. ▶5 ☐ Qualifying widow(er) with dependent child

Check only one box.

Exemptions

6a ☒ Yourself. If someone can claim you as a dependent, do not check box 6ab ☒ Spouse

c Dependents:

| (1) First name | Last name | (2) Dependent's social security number | (3) Dependent's relationship to you | (4) <input checked="" type="checkbox"/> if child under age 17 qualifying for child tax credit (see instructions) |
|----------------|-----------|--|-------------------------------------|--|
| | | | Son | <input checked="" type="checkbox"/> |
| | | | | <input type="checkbox"/> |
| | | | | <input type="checkbox"/> |
| | | | | <input type="checkbox"/> |

If more than four dependents, see instructions and check here ☐

d Total number of exemptions claimed

Boxes checked on 6a and 6b

No. of children on 6c who:

• lived with you ☐
• did not live with you due to divorce or separation (see instructions) ☐

Dependents on 6c not entered above

Add numbers on lines above ▶ **3**

Income

7 Wages, salaries, tips, etc. Attach Form(s) W-2

8a Taxable interest. Attach Schedule B if required

b Tax-exempt interest. Do not include on line 8a

8b

9a Ordinary dividends. Attach Schedule B if required

b Qualified dividends

9b

10 Taxable refunds, credits, or offsets of state and local income taxes

11 Alimony received

12 Business income or (loss). Attach Schedule C or C-EZ

13 Capital gain or (loss). Attach Schedule D if required. If not required, check here ☐

14 Other gains or (losses). Attach Form 4797

15a IRA distributions

15a

b Taxable amount

16a Pensions and annuities

16a

b Taxable amount

17 Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E

18 Farm income or (loss). Attach Schedule F

19 Unemployment compensation

20a Social security benefits

20a

b Taxable amount

21 Other income. List type and amount

22 Combine the amounts in the far right column for lines 7 through 21. This is your total income ▶

7 **0 00**8a 8b 9a 9b 10 11 12 13 14 15a 15b 16a 16b 17 18 19 20a 20b 21 22 **0 00**

Adjusted Gross Income

23 Educator expenses

24 Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ

25 Health savings account deduction. Attach Form 8889

26 Moving expenses. Attach Form 3903

27 Deductible part of self-employment tax. Attach Schedule SE

28 Self-employed SEP, SIMPLE, and qualified plans

29 Self-employed health insurance deduction

30 Penalty on early withdrawal of savings

31a Alimony paid b Recipient's SSN ▶

32 IRA deduction

33 Student loan interest deduction

34 Tuition and fees. Attach Form 8917

35 Domestic production activities deduction. Attach Form 8903

36 Add lines 23 through 35

37 Subtract line 36 from line 22. This is your adjusted gross income ▶

23 24 25 26 27 28 29 30 31a 32 33 34 35 23 24 25 26 27 28 29 30 31a 32 33 34 35 36 **0 00**37 **0 00**

Tax and Credits**Standard Deduction for—**

• People who check any box on line 39a or 39b or who can be claimed as a dependent, see instructions.

• All others:
Single or Married filing separately, \$6,100
Married filing jointly or Qualifying widow(er), \$12,200
Head of household, \$8,950

| | | | | | |
|------------|--|-----------|--|---------------|-----------|
| 38 | Amount from line 37 (adjusted gross income) | 38 | | 0 | 00 |
| 39a | Check <input type="checkbox"/> You were born before January 2, 1949, <input type="checkbox"/> Blind. Total boxes checked ▶ 39a <input type="checkbox"/> | | | | |
| | if: <input type="checkbox"/> Spouse was born before January 2, 1949, <input type="checkbox"/> Blind. | | | | |
| b | If your spouse itemizes on a separate return or you were a dual-status alien, check here ▶ 39b <input type="checkbox"/> | | | | |
| 40 | Itemized deductions (from Schedule A) or your standard deduction (see left margin) | 40 | | 12200 | 00 |
| 41 | Subtract line 40 from line 38 | 41 | | -12200 | 00 |
| 42 | Exemptions. If line 38 is \$150,000 or less, multiply \$3,900 by the number on line 6d. Otherwise, see instructions | 42 | | 3900 | |
| 43 | Taxable income. Subtract line 42 from line 41. If line 42 is more than line 41, enter -0- | 43 | | 0 | 00 |
| 44 | Tax (see instructions). Check if any from: a <input type="checkbox"/> Form(s) 8814 b <input type="checkbox"/> Form 4972 c <input type="checkbox"/> | 44 | | 0 | 00 |
| 45 | Alternative minimum tax (see instructions). Attach Form 6251 | 45 | | 0 | 00 |
| 46 | Add lines 44 and 45 | 46 | | 0 | 00 |
| 47 | Foreign tax credit. Attach Form 1116 if required | 47 | | | |
| 48 | Credit for child and dependent care expenses. Attach Form 2441 | 48 | | | |
| 49 | Education credits from Form 8863, line 19 | 49 | | | |
| 50 | Retirement savings contributions credit. Attach Form 8880 | 50 | | | |
| 51 | Child tax credit. Attach Schedule 8812, if required | 51 | | | |
| 52 | Residential energy credits. Attach Form 5695 | 52 | | | |
| 53 | Other credits from Form: a <input type="checkbox"/> 3800 b <input type="checkbox"/> 8801 c <input type="checkbox"/> | 53 | | | |
| 54 | Add lines 47 through 53. These are your total credits | 54 | | 0 | 00 |
| 55 | Subtract line 54 from line 46. If line 54 is more than line 46, enter -0- | 55 | | 0 | 00 |

Other Taxes

| | | | | | |
|------------|---|------------|--|----------|-----------|
| 56 | Self-employment tax. Attach Schedule SE | 56 | | | |
| 57 | Unreported social security and Medicare tax from Form: a <input type="checkbox"/> 4137 b <input type="checkbox"/> 8919 | 57 | | | |
| 58 | Additional tax on IRAs, other qualified retirement plans, etc. Attach Form 5329 if required | 58 | | | |
| 59a | Household employment taxes from Schedule H | 59a | | | |
| b | First-time homebuyer credit repayment. Attach Form 5405 if required | 59b | | | |
| 60 | Taxes from: a <input type="checkbox"/> Form 8959 b <input type="checkbox"/> Form 8960 c <input type="checkbox"/> Instructions; enter code(s) | 60 | | | |
| 61 | Add lines 55 through 60. This is your total tax | 61 | | 0 | 00 |

Payments

If you have a qualifying child, attach Schedule EIC.

| | | | | | |
|------------|--|------------|--|--------------|-----------|
| 62 | Federal income tax withheld from Forms W-2 and 1099 | 62 | | 15481 | 00 |
| 63 | 2013 estimated tax payments and amount applied from 2012 return | 63 | | | |
| 64a | Earned income credit (EIC) | 64a | | | |
| b | Nontaxable combat pay election 64b <input type="checkbox"/> | | | | |
| 65 | Additional child tax credit. Attach Schedule 8812 | 65 | | | |
| 66 | American opportunity credit from Form 8863, line 8 | 66 | | | |
| 67 | Reserved | 67 | | | |
| 68 | Amount paid with request for extension to file | 68 | | | |
| 69 | Excess social security and tier 1 RRTA tax withheld | 69 | | | |
| 70 | Credit for federal tax on fuels. Attach Form 4136 | 70 | | | |
| 71 | Credits from Form: a <input type="checkbox"/> 2439 b <input checked="" type="checkbox"/> Reserved c <input type="checkbox"/> 8885 d <input type="checkbox"/> | 71 | | | |
| 72 | Add lines 62, 63, 64a, and 65 through 71. These are your total payments | 72 | | 15481 | 00 |

Refund

Direct deposit? See instructions.

| | | | | | |
|------------|---|--|--|--------------|-----------|
| 73 | If line 72 is more than line 61, subtract line 61 from line 72. This is the amount you overpaid | 73 | | 15481 | 00 |
| 74a | Amount of line 73 you want refunded to you . If Form 8888 is attached, check here <input type="checkbox"/> | 74a | | 15481 | 00 |
| b | Routing number | c Type: <input checked="" type="checkbox"/> Checking <input type="checkbox"/> Savings | | | |
| d | Account number | | | | |

Amount You Owe

| | | | | | |
|-----------|---|-----------|--|--|--|
| 75 | Amount of line 73 you want applied to your 2014 estimated tax ▶ | 75 | | | |
| 76 | Amount you owe. Subtract line 72 from line 61. For details on how to pay, see instructions ▶ | 76 | | | |
| 77 | Estimated tax penalty (see instructions) | 77 | | | |

Third Party Designee

Do you want to allow another person to discuss this return with the IRS (see instructions)? ☐ **Yes.** Complete below. ☐ **No**

Designee's name ▶ Phone no. ▶ Personal identification number (PIN) ▶

Sign Here

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Joint return? See instructions. Keep a copy for your records.

| | | | |
|---|------|--|--|
| Your signature | Date | Your occupation Project Specialist | Daytime phone number |
| Spouse's signature. If a joint return, both must sign. | Date | Spouse's occupation Office Manager | If the IRS sent you an Identity Protection PIN, enter it here (see inst.) <input type="text"/> |

Paid Preparer Use Only

| | | | | |
|----------------------------|----------------------|------|---|------|
| Print/Type preparer's name | Preparer's signature | Date | Check <input type="checkbox"/> if self-employed | PTIN |
| Firm's name ▶ | Firm's EIN ▶ | | | |
| Firm's address ▶ | Phone no. | | | |

Form **4852**

(Rev. August 2013)

Department of the Treasury
Internal Revenue Service**Substitute for Form W-2, Wage and Tax Statement, or Form 1099-R, Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.**

▶ Attach to Form 1040, 1040A, 1040-EZ, or 1040X.

▶ Information about Form 4852 is available at www.irs.gov/form4852.

OMB No. 1545-0074

| | |
|---|-------------------------------|
| 1 Name(s) shown on return Guy R | 2 Your social security number |
| 3 Address | |

4 Enter year in space provided and check one box. For the tax year ending December 31, 2013,I have been unable to obtain (or have received an incorrect) ☒ Form W-2 **OR** ☐ Form 1099-R.I hereby notify ~~I have notified~~ the IRS of this fact. The amounts shown on line 7 or line 8 are my best estimates for all wages or payments made to me and tax withheld by my employer or payer named on line 5.

| | |
|---|--|
| 5 Employer's or payer's name, address, and ZIP code | 6 Employer's or payer's identification number (if known) |
|---|--|

7 Form W-2. Enter wages, tips, other compensation, and taxes withheld.

| | |
|--|--|
| a Wages, tips, and other compensation <u>0.00</u> b Social security wages <u>0.00</u> c Medicare wages and tips <u>0.00</u> d Advance EIC payment <u>0.00</u> e Social security tips <u>0.00</u> f Federal income tax withheld <u>3393.76</u> | g State income tax withheld <u>1787.60</u> (Name of state) <u>AZ</u> h Local income tax withheld <u></u> (Name of locality) <u></u> i Social security tax withheld <u>3162.54</u> j Medicare tax withheld <u>739.60</u> |
|--|--|

8 Form 1099-R. Enter distributions from pensions, annuities, retirement/profit-sharing plans, IRAs, insurance contracts, etc.

| | |
|--|--|
| a Gross distribution <u></u> b Taxable amount <u></u> c Taxable amount not determined <input type="checkbox"/> d Total distribution <input type="checkbox"/> e Capital gain (included in line 8b) <u></u> | f Federal income tax withheld <u></u> g State income tax withheld <u></u> h Local income tax withheld <u></u> i Employee contributions <u></u> j Distribution codes <u></u> |
|--|--|

9 How did you determine the amounts on lines 7 and 8 above?Records provided by the payer listed on line 5.**10 Explain your efforts to obtain Form W-2, Form 1099-R, or Form W-2c, Corrected Wage and Tax Statement.**None**Sign
Here**

Under penalties of perjury, I declare that I have examined this statement, and to the best of my knowledge and belief, it is true, correct, and complete.

Signature ▶ _____

Date ▶ _____

General Instructions

Section references are to the Internal Revenue Code.

Future developments. The IRS has created a page on IRS.gov for information about Form 4852, at www.irs.gov/form4852. Information about any future developments affecting Form 4852 (such as legislation enacted after we release it) will be posted on that page.**Purpose of form.** Form 4852 serves as a substitute for Forms W-2, W-2c, and 1099-R and is completed by you or your representatives when (a) your employer or payer does not issue you a Form W-2 or Form 1099-R or (b) an employer or payer has issued an incorrect Form W-2 or Form 1099-R. Attach this form to the back of your income tax return, before any supporting forms or schedules.

You should always attempt to get Form W-2, Form W-2c, or Form 1099-R from your employer or payer before contacting the IRS or filing Form 4852. If you do not receive the missing or corrected form from your employer or payer by February 14, you may call the IRS at 1-800-829-1040 for assistance. You must provide your name, address (including ZIP code), phone number, social security number, and dates of employment, and your employer's or payer's

name, address (including ZIP code), and phone number. The IRS will contact your employer or payer and request the missing form. The IRS also will send you a Form 4852. If you do not receive the missing form in sufficient time to file your income tax return timely, you may use the Form 4852 that the IRS sent you.

If you received an incorrect Form W-2 or Form 1099-R, you should always attempt to have your employer or payer issue a corrected form before filing Form 4852.

Note. Retain a copy of Form 4852 for your records. To help **protect your social security benefits**, keep a copy of Form 4852 until you begin receiving social security benefits, just in case there is a question about your work record and/or earnings in a particular year. After September 30 following the date shown on line 4, you may use a *my* Social Security online account to verify wages reported by your employers. Please visit www.ssa.gov/myaccount. Or, you may contact your local SSA office to verify wages reported by your employer.**Will I need to amend my return?** If you receive a Form W-2, Form W-2c, or Form 1099-R after your return is filed with Form 4852, and the information differs from the information reported on your return,

Form **4852**

(Rev. August 2013)

Department of the Treasury
Internal Revenue Service**Substitute for Form W-2, Wage and Tax Statement, or Form 1099-R, Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.**

▶ Attach to Form 1040, 1040A, 1040-EZ, or 1040X.

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OMB No. 1545-0074

| | |
|--|--------------------------------------|
| 1 Name(s) shown on return Sherrie J | 2 Your social security number |
| 3 Address | |

4 Enter year in space provided and check one box. For the tax year ending December 31, 2013,
 I have been unable to obtain (or have received an incorrect) ☒ Form W-2 **OR** ☐ Form 1099-R.
 I hereby notify ~~I have notified~~ the IRS of this fact. The amounts shown on line 7 or line 8 are my best estimates for all wages or payments made to me and tax withheld by my employer or payer named on line 5.

| | |
|--|---|
| 5 Employer's or payer's name, address, and ZIP code | 6 Employer's or payer's identification number (if known) |
|--|---|

| | | | |
|---|---------|---------------------------------------|---------|
| 7 Form W-2. Enter wages, tips, other compensation, and taxes withheld. | | | |
| a Wages, tips, and other compensation | 0.00 | g State income tax withheld | 2299.78 |
| b Social security wages | 0.00 | (Name of state) | AZ |
| c Medicare wages and tips | 0.00 | h Local income tax withheld | |
| d Advance EIC payment | 0.00 | (Name of locality) | |
| e Social security tips | 0.00 | i Social security tax withheld | 2956.52 |
| f Federal income tax withheld | 4537.26 | j Medicare tax withheld | 691.44 |

8 Form 1099-R. Enter distributions from pensions, annuities, retirement/profit-sharing plans, IRAs, insurance contracts, etc.

| | | | |
|---|--------------------------|--------------------------------------|--|
| a Gross distribution | | f Federal income tax withheld | |
| b Taxable amount | | g State income tax withheld | |
| c Taxable amount not determined | <input type="checkbox"/> | h Local income tax withheld | |
| d Total distribution | <input type="checkbox"/> | i Employee contributions | |
| e Capital gain (included in line 8b) | | j Distribution codes | |

9 How did you determine the amounts on lines 7 and 8 above?

Records provided by the payer listed on line 5.

10 Explain your efforts to obtain Form W-2, Form 1099-R, or Form W-2c, Corrected Wage and Tax Statement.

None

**Sign
Here**

Under penalties of perjury, I declare that I have examined this statement, and to the best of my knowledge and belief, it is true, correct, and complete.

Signature ▶

Date ▶

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name, address (including ZIP code), and phone number. The IRS will contact your employer or payer and request the missing form. The IRS also will send you a Form 4852. If you do not receive the missing form in sufficient time to file your income tax return timely, you may use the Form 4852 that the IRS sent you.

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Note. Retain a copy of Form 4852 for your records. To help protect your social security benefits, keep a copy of Form 4852 until you begin receiving social security benefits, just in case there is a question about your work record and/or earnings in a particular year. After September 30 following the date shown on line 4, you may use a my Social Security online account to verify wages reported by your employers. Please visit www.ssa.gov/myaccount. Or, you may contact your local SSA office to verify wages reported by your employer.

Will I need to amend my return? If you receive a Form W-2, Form W-2c, or Form 1099-R after your return is filed with Form 4852, and the information differs from the information reported on your return,

31 March 2014

Department of the Treasury
Internal Revenue Service
5045 E. Butler Ave
Fresno, CA 93888-0034

Regarding Letter 12C, Mar. 28, 2014. Our return for the tax year ending Dec. 31, 2013 was accompanied by a Form 4852, Substitute for Form W-2, Wage and Tax Statement. The General Instructions for completing Form 4852 state the form is used to correct erroneous information furnished on a Form W-2.

We would be negligent to furnish you with a W-2 which contains erroneous information from the payer. The information submitted on Form 4852 is the correct information in rebuttal to the information submitted by the payer. On Form 4852 we furnish the name, address and payer identification number associated with the erroneous W-2 on the Form 4852. I was unable to convey by contact with the payer the reasons why the W-2 is erroneous.

We believe our return for 2013 is scrupulously accurate and truthful, and we ask you therefore to process the return and forward the overpayment to us at the earliest possible time.

Sincerely,

Guy R & Sherrie J

Attachment: Letter 12C, Mar. 28, 2014