

Individual Income Tax Return 2011

North Carolina Department of Revenue

IMPORTANT: Do not send a photocopy of this form.

For calendar year 2011, or fiscal year beginning (MM-DD-YY) and ending (MM-DD-YY)

Your Social Security Number

Spouse's Social Security Number

You must enter your
social security number(s)

Your First Name (USE CAPITAL LETTERS FOR YOUR NAME AND ADDRESS)

M.I.

Your Last Name

ERIC

L.

HOWARTH

If a Joint Return, Spouse's First Name

M.I.

Spouse's Last Name

Mailing Address

Apartment Number

752 RUNNINGBROOK LN

City

State

Zip Code

Country (If not U.S.)

County (Enter first five letters)

RURAL HALL

NC

27045

FORSY

← Fill in circle if you or your spouse were out of the country on April 15 and a U.S. citizen or resident.

Fill in circle if this is an **AMENDED 2011 return**. **IMPORTANT:** You must also complete Form D-400X-WS, Worksheet for Amending (Note: This form cannot be used for tax years other than 2011.) **2011 Individual Income Tax Return**, and attach it to the front of your amended return.

Deceased Taxpayer Information	N.C. Public Campaign Fund	N.C. Political Parties Financing Fund
<p>Fill in circle if return is filed and signed by Executor, Administrator or Court-Appointed Personal Representative.</p> <p>Taxpayer (MM-DD-YY)</p> <p>Spouse (MM-DD-YY)</p> <p>If return is for a deceased taxpayer or deceased spouse, enter date of death.</p>	<p>Mark 'Yes' if you want to designate \$3 of taxes to this special Fund for voter education materials and for candidates who accept spending limits. Marking 'Yes' does not change your tax or refund.</p> <p>Fill in appropriate circle</p> <p>You</p> <p>Yes</p> <p>No</p> <p>Your Spouse</p> <p>Yes</p> <p>No</p>	<p>Fill in appropriate circle if you want to designate \$3 to this fund. Your tax remains the same whether or not you make a designation.</p> <p>You</p> <p>Democratic</p> <p>Republican</p> <p>Libertarian</p> <p>Unspecified</p> <p>Your Spouse</p> <p>Democratic</p> <p>Republican</p> <p>Libertarian</p> <p>Unspecified</p>

Federal Adjusted Gross Income

Enter federal adjusted gross income from your federal return (Form 1040, Line 37; Form 1040A, Line 21; or Form 1040EZ, Line 4)

0.00

Residency Status Were you a resident of N.C. for the entire year of 2011? ☒ Yes ☐ No *If No, complete Lines 1 through 11. Then go to Page 4 of Form D-400. Fill in residency information and complete Lines 54 through 56.*

Was your spouse a resident for the entire year? ☐ Yes ☐ No

Filing Status

Same as federal. Fill in one circle only. If your spouse was a nonresident and had no North Carolina taxable income in 2011, see the Line Instructions for Lines 1 through 5. If you do not indicate your filing status by filling in one of the circles, any refund due will be delayed.

Enter the Number of Exemptions claimed on your federal income tax return

- ☒ Single
- ☐ Married Filing Jointly
- ☐ Married Filing Separately *(Enter your spouse's full name and Social Security Number)* Name SSN
- ☐ Head of Household
- ☐ Qualifying Widow(er) with Dependent Child *(Year spouse died)*

Enter Whole U.S. Dollars Only

- Taxable Income from Your Federal Income Tax Return**
Form 1040, Line 43; Form 1040A, Line 27; or Form 1040EZ, Line 6 (If zero, see the Line Instructions)
- Additions to Federal Taxable Income**
All taxpayers must complete Lines 31 through 41 on Page 3 and enter amount from Line 41
- Add Lines 6 and 7**
- Deductions from Federal Taxable Income**
If applicable, complete Lines 42 through 53 on Pages 3 and 4 and enter amount from Line 53
- Line 8 minus Line 9**

6. 0.00

7. 0.00

8. 0.00

9. 0.00

10. 0.00



Staple All Pages of Your Return Here ↑

Staple W-2s Here ↑

11. Enter amount from Line 10	11.	0.00
12. Part-year residents and nonresidents Complete Lines 54 through 56 on Page 4 and enter decimal amount from Line 56	12.	
13. North Carolina Taxable Income Full-year residents enter the amount from Line 11 Part-year residents and nonresidents multiply amount on Line 11 by the decimal amount on Line 12	13.	0.00
14. North Carolina Income Tax - If the amount on Line 13 is less than \$68,000, use the Tax Table beginning on Page 21 of the instructions to determine your tax. If the amount on Line 13 is \$68,000 or more, use the Tax Rate Schedule on Page 29 to calculate your tax.	14.	0.00
15. Tax Credits (From Form D-400TC, Part 4, Line 37 - You must attach Form D-400TC if you enter an amount on this line)	15.	
16. Subtract Line 15 from Line 14	16.	0.00
17. Consumer Use Tax (See instructions on Page 8)	17.	0.00
18. Add Lines 16 and 17	18.	0.00
19. North Carolina Income Tax Withheld (Staple original or copy of the original State wage and tax statement(s) in lower left-hand corner of the return)		
a. Your tax withheld	19a.	3635.00
b. Spouse's tax withheld	19b.	
20. Other Tax Payments		
a. 2011 Estimated Tax	20a.	0.00
b. Paid with Extension	20b.	0.00
c. Partnership	20c.	0.00
d. S Corporation	20d.	0.00
21. North Carolina Earned Income Tax Credit (From Form D-400TC, Part 5)	21.	0.00
22. Tax Credit for Small Businesses That Pay N.C. Unemployment Insurance (From Form D-400TC, Part 6)	22.	0.00
23. Add Lines 19a through 22 and enter the total on Line 23	23.	3635.00
24. a. Tax Due - If Line 18 is more than Line 23, subtract and enter the result	24a.	
b. Penalties	24b.	
c. Interest	24c.	
d. Interest on the underpayment of estimated income tax (See Line instructions and enter letter in box, if applicable)	24d.	
25. Add Lines 24a, 24b, 24c, and 24d and enter the total - Pay This Amount You can now pay online. Go to our website and click on Electronic Services for details.	25.	\$
26. Overpayment - If Line 18 is less than Line 23, subtract and enter the result	26.	3635.00
27. Amount of Line 26 to be applied to 2012 Estimated Income Tax	27.	0.00
28. Contribution to the N.C. Nongame and Endangered Wildlife Fund	28.	0.00
29. Add Lines 27 and 28	29.	0.00
30. Subtract Line 29 from Line 26 and enter the Amount To Be Refunded For a faster refund, file electronically. Go to our website and click on efile .	30.	3635.00



Additions to Federal Taxable Income (See Line Instructions)

31. Enter the itemized deductions or the standard deduction from your federal return

- Form 1040, Line 40
- Form 1040A, Line 24
- Form 1040EZ SINGLE filers - enter \$5,800 OR the amount from Line 5 of Form 1040EZ, whichever is less
- Form 1040EZ MARRIED FILING JOINTLY filers - enter \$11,600 OR the amount from Line 5 of Form 1040EZ, whichever is less

Enter Whole U.S. Dollars Only

31.

0.00

32. Enter your N.C. standard deduction (The standard deduction for most people is shown below.)

- Single \$3,000
- Head of household \$4,400
- Qualifying widow(er) \$6,000
- Married filing jointly \$6,000
- Married filing separately:
 - If your spouse does not claim itemized deductions \$3,000
 - If your spouse claims itemized deductions 0

32.

0.00



Note: If 65 or older or blind OR if someone can claim you as a dependent, see the applicable chart or worksheet on Page 11 to determine the amount to enter on this line.

33. Subtract Line 32 from Line 31 and enter the result here, but not less than zero

33.

0.00

34. If you claimed the standard deduction on your federal return, do not enter any amount on Line 34. Instead, skip Line 34 and go to Line 35.

If you itemized your deductions on your federal return, enter on this line the state and local taxes from Line 5 of Federal Schedule A and any foreign income taxes included on Line 8 of Federal Schedule A.

35. If you claimed the standard deduction, enter the amount from Line 33 above.

If you itemized your deductions, compare Line 33 with Line 34 and enter whichever is less.

35.

0.00

36. Personal exemption adjustment (See instructions on Page 12)

36.

0.00

37. Interest income from obligations of states other than North Carolina

37.

0.00

38. Adjustment for bonus depreciation (See instructions on Page 12)

38.

0.00

39. Adjustment for section 179 expense deduction (See instructions on Page 12)

39.

0.00

40. Other additions to federal taxable income (Attach explanation or schedule)

40.

0.00

41. Total additions - Add Lines 35 through 40 (Enter the total here and on Line 7)

41.

0.00

Deductions from Federal Taxable Income (See Line Instructions beginning on Page 13.)

42. State or local income tax refund if included on Line 10 of Federal Form 1040

42.

0.00

43. Interest income from obligations of the United States or United States' possessions

43.

0.00

44. Taxable portion of Social Security and Railroad Retirement Benefits included on your federal return

44.

0.00

45. Retirement benefits received from vested N.C. State government, N.C. local government, or federal government retirees (Bailey settlement - Important: See Line instructions on Page 13)

45.

0.00

46. If you have retirement benefits not reported on Lines 44 or 45, complete the Retirement Benefits Worksheet on Page 14 and enter the result here

46.

0.00

47. Severance wages (See Line instructions on Page 14 for explanation of qualifying severance wages)

47.

0.00

48. Adjustment for bonus depreciation added back in 2008, 2009, and 2010 (See Line instructions on Page 14)

48a. 2008

48b. 2009

48c. 2010

(Add Lines 48a, 48b, and 48c and enter on Line 48d.)

48d.

0.00

49. Adjustment for section 179 expense deduction added back in 2010 (See Line instructions on Page 14)

49.

0.00

50. Contributions to North Carolina's National College Savings Program (NC 529 Plan) (See Line instructions on Page 14 for deduction limitations)

50.

0.00

HOWARTH

2011

Deductions from Federal Taxable Income (continued)

51. Adjustment for absorbed Non-ESB NOL added back in 2003, 2004, 2005, and 2006 (See instructions on Page 14) ▶ 51. 0.00
52. Other deductions from federal taxable income (Attach explanation or schedule. Do not include any deduction for retirement benefits on this line.) ▶ 52. 0.00
53. Total deductions - Add Lines 42 through 52 (Enter the total here and on Line 9) 53. 0.00

Computation of North Carolina Taxable Income for Part-Year Residents and Nonresidents

(See Line Instructions beginning on Page 14. Note: Do not complete Lines 54 through 56 if you were a full-year resident.)

Fill in applicable circles

You Spouse

Fill in circle(s) if you or your spouse moved into or out of North Carolina during the year and enter the dates of residency in the boxes. → ☐ ☐

You		Spouse	
Date residency began	Date residency ended	Date residency began	Date residency ended
(MM-DD-YY)	(MM-DD-YY)	(MM-DD-YY)	(MM-DD-YY)

Fill in circle(s) if you or your spouse were nonresidents of North Carolina for the entire year. → ☐ ☐

Part-year residents must read the instructions on Page 14 and complete the worksheet on Page 15 to determine the amounts to enter on Lines 54 and 55 below.

54. Enter the amount from Column B, Line 33 of the Part-Year Resident/Nonresident Worksheet on Page 15 of the Instructions. ▶ 54.
55. Enter the amount from Column A, Line 33 of the Part-Year Resident/Nonresident Worksheet on Page 15 of the Instructions. ▶ 55.
56. Divide Line 54 by Line 55 (Enter the result as a decimal amount here and on Line 12; round to four decimal places.) 56.

I certify that, to the best of my knowledge, this return is accurate and complete.

If prepared by a person other than taxpayer, this certification is based on all information of which the preparer has any knowledge.

Sign Here

Eric L. Howarth 03/30/12
Your Signature Date

Paid Preparer's Signature Date

Spouse's Signature (If filing joint return, both must sign.) Date

Preparer's FEIN, SSN, or PTIN ▶

Home Telephone Number (Include area code.) ▶

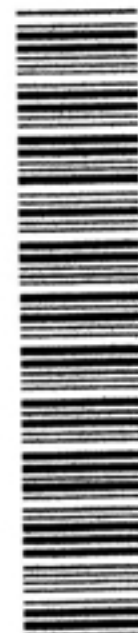
Preparer's Telephone Number (Include area code.) ▶

If REFUND mail
return to:

N.C. DEPT. OF REVENUE
P.O. BOX R
RALEIGH, NC 27634-0001

If you ARE NOT due a
refund, mail return, any
payment, and D-400V to:

N.C. DEPT. OF REVENUE
P.O. BOX 25000
RALEIGH, NC 27640-0640



Original Return Payment Options

Online – You can pay your tax online by bank draft, credit, or debit card using Visa or MasterCard. Go to our website at www.dornnc.com and click on **Electronic Services** for details.

Payment voucher – If you do not pay your tax online, go to our website and generate a personalized Form D-400V. Enclose the voucher with your return and payment, and mail to the address listed above. If you do not pay online or by payment voucher, mail a check or money order with your return for the full amount due. Please write "D-400", and your name, address, and social security number on the payment. If filing a joint return, write both social security numbers on your payment in the order that they appear on the return. **Note:** The Department will not accept a check, money order, or cashier's check unless it is drawn on a U.S. (domestic) bank and the funds are payable in U.S. dollars. Please do not staple, tape, paper clip, or otherwise attach your payment or voucher to your return or to each other.

Amended Returns

See Form D-400X-WS for the mailing address and payment options for amended returns.

Important: You must complete and attach the corrected Form D-400 behind Form D-400X-WS, Worksheet for Amending Individual Income Tax Return.

Substitute for Form W-2, Wage and Tax Statement, or Form 1099-R, Distributions From Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs, Insurance Contracts, etc.

OMB No. 1545-0074

▶ Attach to Form 1040, 1040A, 1040-EZ, or 1040X.

1 Name(s) shown on return ERIC L. HOWARTH		2 Your social security number [REDACTED]
3 Address 752 RUNNING BBROOK LN RURAL HALL NC 27045		
4 Enter year in space provided and check one box. For the tax year ending December 31, <u>2011</u> , I have been unable to obtain (or have received an incorrect) <input type="checkbox"/> Form W-2 OR <input type="checkbox"/> Form 1099-R. I have notified the IRS of this fact. The amounts shown on line 7 or line 8 are my best estimates for all wages or payments made to me and tax withheld by my employer or payer named on line 5.		
5 Employer's or payer's name, address, and ZIP code		6 Employer's or payer's identification number (if known)
7 Form W-2. Enter wages, tips, other compensation, and taxes withheld.		
a Wages, tips, and other compensation	0.00	g State income tax withheld 3635.00 (Name of state) <u>N.C.</u>
b Social security wages	0.00	h Local income tax withheld (Name of locality)
c Medicare wages and tips	0.00	i Social security tax withheld 2406.07
d Advance EIC payment	0.00	j Medicare tax withheld 830.67
e Social security tips	0.00	
f Federal income tax withheld 9810.52		
a Gross distribution		
b Taxable amount		
c Taxable amount not determined <input type="checkbox"/>		
d Total distribution <input type="checkbox"/>		
e Capital gain (included in line 8b)		
f Federal income tax withheld		
g State income tax withheld		
h Local income tax withheld		
i Employee contributions		
j Distribution codes		

9 How did you determine the amounts on lines 7 and 8 above?
I received a W-2 which erroneously alleged payment of IRC section 3121 & 3401 which are hereby disputed. I have earned no wages as defined in the IRC sections 3121 & 3401 which would make me liable for the excise tax

10 Explain your efforts to obtain Form W-2, Form 1099-R, or Form W-2c, Corrected Wage and Tax Statement.
None, W-2 was mailed to me before "wage" errors were noted. I have Submitted Form 4598 so that they may correct their error

Sign Here	Under penalties of perjury, I declare that I have examined this statement, and to the best of my knowledge and belief, it is true, correct, and complete.
	This scan arrived in two parts with some parts missing...
	Signature ▶ _____ Date ▶ _____